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Anand Rajagopalan

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PATTERSON & SHERIDAN L.L.P. NJ Office

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EXAMINER

VIDWAN, JASJIT S

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/731,063	<b>Applicant(s)</b> RAJAGOPALAN ET AL.	
	<b>Examiner</b> JASJIT S. VIDWAN	<b>Art Unit</b> 2182	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 5/21/08.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-6,8-10,21,22 and 24-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,8-10,21,22 and 24-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>5/21/08</u> .   | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

***Response to Arguments***

1. Applicant's arguments, see Amendments, filed 05/21/08, with respect to the rejection(s) of claim(s) 1 & 10 under Boucher & Chmielecki have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Boucher and further in view of Elzur.

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-3, & 10, 29-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boucher et al, U.S. Patent No: 6,334,153 [**hereinafter Boucher**] and further in view of Elzur et al, U.S. Patent No: 6,629,125 [**hereinafter Elzur**].

1. **As per claims 1**, Boucher teaches a system for uploading frame data to system memory, the system comprising:

(a) CPU coupled to the system memory and configured to execute an application program [**Col. 5, Lines 10-24**].

(b) CPU executing a Transmission Control Protocol (TCP) stack which includes code to complete at least some TCP processing [**Col. 6, Lines 22-38**]

(c) Hardware subsystem preconfigured to process all frames related to one or more connections delegated by the TCP stack to produce frame data [**Col. 2, Lines 60-67, "processing bits of incoming network data"**] and upload the frame data to a portion of system memory allocated to the application program [**Col. 3, Lines 56-62, The Fast-path method delivers data directly to intended destination which is the Application Program**]

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(d) System memory including a connection table (CT) storing data for all active connections with system including delegated connections [**Col. 45, Lines 16-28**], reads and writes to the CT being made directly through the hardware [Col. 4, Lines 6-10]

(e) Hardware subsystem being further preconfigured to request legacy processing by the TCP stack of the frames of the delegated connections [**Col. 6, Lines 54-56**]

Boucher teaches the above limitation in addition to teaching handling exceptions in conditions where fast path data destined to be transferred directly to user buffer of system memory (35) is rerouted to protocol processing stack (44) and thus to legacy buffer due to any given exceptions that might arise [**see Col. 5, Lines 55-Col. 6, Lines 5**]. Boucher, however, does not disclose transferring data to a legacy buffer in portion of system shared memory not allocated to application program and further transferring the data from the legacy buffer to application program prior to use. Elzur of analogous art teaches the above teaching of storing the data directly in application memory [**see Elzur, Fig. 7, element 304**] and storing incoming data in memory not designated for application when application buffer is not available [**see Elzur, Fig. 7, element 308**].

It would have been obvious to one of ordinary skill in the art at the time of Applicant's invention to combine the above to two teachings in order to take advantage of preventing error through either overflow or when the data is transmitted out of order. It is for this reason that one of ordinary skill in the art at the time of Applicant's invention would have been motivated to combine the two teachings.

2. **As per Claim 10**, Boucher as modified by Elzur above teaches a method of uploading frame data including Transmission Control Protocol (TCP) payload data [**Col. 6, Lines 22-38**] to system memory, the method comprising

(a) Processing a frame to produce frame data [**Col. 2, Lines 60-67**, *"processing bits of incoming network data"*]

(b) Uploading the frame data to either a portion of system memory comprising a user buffer allocated to an application program [**Col. 3, Lines 56-62**, *The Fast-path method delivers data directly to intended destination which is the Application Program*] or a legacy buffer in the system

memory for separate TCP processing by a TCP stack executing on a CPU depending on whether the user buffer is available **[Col. 2, Lines 44-59, *The slow-path method adds headers of each layer prior to sending the frame to the second host*]**

( c ) Copying frame data uploaded to legacy buffer from the portion of the system memory not allocated to the application memory to the system memory that is allocated to application memory **[see Elzur, Col. 5, Lines 29-44 – When the data is not transmitted to the application memory (304), the data is placed temporarily in space not allocated to application memory (308) prior to being moved to 304. Elzur gives an example of a scenario wherein the data packet/frame arrives at the network node out of order. Therefore, rather than placing the said packet in the application buffer and thereby generating an error, the data packet is placed in shared memory 308 prior to being moved to 304 after the missing packets arrive].**

(d) Utilizing hardware separate from the CPU which does the TCP processing] to partially process the frame and determine whether the frame was delegated by the separate TCP processing **[Col. 4, Lines 6-10, “*The specialized microprocessor and the host intelligently choose whether a given message or portion of a message (partially processed frame) is processed by the microprocessor (hardware) or the host stock (software)*”]**

3. **As per claims 2**, Boucher as modified by Elzur above teaches a system wherein the frame data is payload data **[Col. 2, Lines 60-67, *data without headers*]**

4. **As per claims 3**, Boucher as modified by Elzur above teaches a system wherein a TCP Stack **[Col. 6, Lines 22-38, data handled by TCP protocols, therefore TCP stack handles fast-path data]** provides the hardware with a physical address corresponding to a user buffer **[Col. 18, Lines 61-63]**

5. **As per Claims 29 & 30**, Boucher as modified by Elzur above teaches a system wherein the portion of system memory that stores the legacy buffer is allocated to a software driver **[see Chmielecki, Col. 17, Lines 56-59].**

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6. Claims 4-6, 8-9, 21-22, 24-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Boucher & Elzur and further in view of Adams, U.S. Patent No: 6,775,693 [**herein after Adams**].

7. **As per claims 4, 5 and 6**, Boucher as modified by Elzur above teaches the limitations of claims 1 and 10. Boucher further teaches a method for slow-path processing which is the conventional method of transferring and processing data where the frame data is moved to a secondary storage prior to being processed by the Host. However, Boucher does not explicitly teach system wherein the hardware is configured to process frames to produce partially processed frame data and further uploading the partially processed frame data to a portion of system memory allocated to a software driver. However, Adams teaches a system wherein the hardware is configured to process frames to produce partially processed frame data and further uploading the partially processed frame data to a portion of system memory allocated to a software driver [**See Adams, Col. 8, Lines 38-45**].

It would have been obvious for one of ordinary skill in the art at the time of Applicant's invention to combine the teachings of Boucher with that of Adams in order to take advantage of having a dual option for the microprocessor and the host to intelligently choose whether a given message or portion of a message is processed by fast-path or slow-path [**Col. 4, Lines 5-10**]. It is for this reason that one of ordinary skill in the art at the time of Applicant's invention would have been motivated to combine the two teachings in order to take advantage of having a dual option for the microprocessor and the host to intelligently choose whether a given message or portion of a message is processed by fast-path or slow-path [**Col. 4, Lines 5-10**].

8. **As per claims 8**, teachings of Boucher & Elzur as modified by Adams teach a system wherein a software driver provides the hardware with a tag corresponding to a location of the legacy buffer [**See Adams, Col. 8, Lines 46-51**]

9. **As per claim 9**, teachings of Boucher & Elzur as modified by Adams teach a system wherein the hardware is configured to transmit the tag to the software driver [**See Adams, Col. 8, Lines 38-45**].

10. **As per Claim 21**, teachings of Boucher & Elzur as modified by Adams teach a system wherein the hardware is configured to pause incoming frame data to determine whether a frame is invalid, the invalid frame being stored in the legacy buffer for legacy processing [**Col. 58, Lines 9-29**].

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11. **As per Claim 22**, teachings of Boucher & Elzur as modified by Adams teach a system wherein the TCP stack is configured to process the frame data up loaded to the legacy buffer by the hardware

**[Col. 2, Lines 44-59]**

12. **As per Claim 24**, teachings of Boucher & Elzur as modified by Adams teach a method wherein the TCP stack completes processing of the partially processed frame stored in the legacy buffer **[Fig. 4, element 62, "Cache"]**.

13. **As per Claim 25**, teachings of Boucher & Elzur as modified by Adams teaches a method wherein the partial processing of the frame produces partially processed frame and header data **[See Adams, Col. 8, Lines 38-45]**

14. **As per Claim 26**, teachings of Boucher & Elzur as modified by Adams teach a method wherein the user buffer is defined as not available when the processed frame portion exceeds a start up limit value associated with the delegated connection carrying the frame being processed **[Col. 2, Lines 60 – Col. 3, Line 5]**

15. **As per Claim 27**, teachings of Boucher as Elzur by Adams teach a method wherein the uploaded frame data includes TCP payload data **[Col. 6, Lines 22-38]**

16. **As per Claim 28**, teachings of Boucher as Elzur by Adams teach a system wherein the hardware accesses the CT using the distribution port field of a frame as a direct index into the CT **[Col. 6, Line 66 - Col. 7, Line 15]**

### ***Conclusion***

17. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JASJIT S. VIDWAN whose telephone number is (571)272-7936. The examiner can normally be reached on 8am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on 571.272.6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. S. V./  
Examiner, Art Unit 2182  
8/31/08

/Tariq Hafiz/  
Supervisory Patent Examiner, Art Unit 2182